

## SOPHISTICATED INVESTOR DECLARATION FORM

INDIVIDUAL ACCOUNT	Principal Holder	Joint Holder
Name <i>(As per NRIC/Passport/Other ID)</i>		
NRIC/Passport No/Other ID No		
Account Number		

CORPORATE ACCOUNT		
Company Registered Name		
Company Registered No		
Account Number		

### DECLARATION AND SIGNATURES

I/We hereby declare and confirm that I/we am/are a sophisticated investor as defined under Securities Commission Guidelines On Categories Of Sophisticated Investors.

Types of Investors	Investor Category	Please tick [ <input type="checkbox"/> ] whichever is applicable
Principal Account Holder	High-Net Worth Individual	
	Accredited Investor	
Joint Account Holder	High-Net Worth Individual	
	Accredited Investor	
Corporate Account Holder	High-Net Worth Entity	
	Accredited Investor	

I/We agree to indemnify Kenanga Investors Berhad ("KIB") against all actions, fines, proceedings, claims, damages, penalties and any other losses, which may be suffered or incurred by KIB as a result of any inaccuracy of the declaration made herein.

I/We hereby declare that all the above are true.

Signature of Applicant/Authorised Signatory	Signature of Applicant/Authorised Signatory	Company Seal / Company Stamp
Name:	Name:	
NRIC/Passport/Other ID:	NRIC/Passport/Other ID:	
Date:	Date:	

**Note:**

- *Sophisticated Investor Declaration Form is required to be completed when you invest in Wholesale Fund.*
- *Sophisticated Investors are advised to read and understand Information Memorandum and Supplemental Information Memorandum(s) (if any) relating to the Fund and obtain professional advice before subscribing to the Units of the Fund.*
- *Please refer to the Appendix 1 for more information on the category of Sophisticated Investor.*

**Category of Investors**

Category	Qualifying Criteria
<b>High-Net Worth Individual</b>	<p>An individual -</p> <ul style="list-style-type: none"> <li>• whose total net personal assets exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than one million ringgit of the total net assets;</li> <li>• whose total net joint assets with - <ul style="list-style-type: none"> <li>(a) his or her spouse; or</li> <li>(b) his or her child,</li> </ul> exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than one million ringgit of the total net assets;</li> <li>• who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months;</li> <li>• who jointly with his or her spouse or child, has a gross annual income exceeding four hundred thousand ringgit or its equivalent in foreign currencies in the preceding twelve months;</li> <li>• whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies;</li> <li>• who holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting - <ul style="list-style-type: none"> <li>(A) holds a Bachelor's or Master's degree related to Finance, Economics or Actuarial Science;</li> <li>(B) holds a Bachelor's or Master's degree in Accounting; or</li> <li>(C) holds a Master of Business Administration;</li> </ul> </li> <li>• who holds the following membership in the associations as set out below: <ul style="list-style-type: none"> <li>(A) Active Member of Chartered Financial Analyst (CFA) Institute;</li> <li>(B) Chartered Banker of Asian Institute of Chartered Bankers (AICB);</li> <li>(C) Ordinary Member of Financial Markets Association Malaysia (FMAM);</li> <li>(D) Chartered Accountant, C.A(M) of Malaysian Institute of Accountants (MIA);</li> <li>(E) Ordinary Member of Malaysia Association of Tax Accountants (MATA);</li> <li>(F) Accredited Angel Investor of Malaysian Business Angel Network (MBAN);</li> <li>(G) Certified Member of Financial Planning Association of Malaysia (FPAM); or</li> <li>(H) Ordinary Member of Malaysian Financial Planning Council (MFPC); or</li> </ul> </li> <li>• who has five consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.</li> </ul>
<b>High-Net Worth Entity</b>	<ul style="list-style-type: none"> <li>• A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies.</li> <li>• A corporation that - <ul style="list-style-type: none"> <li>(A) is a public company under the Companies Act 2016 which is approved by the SC to be a trustee under the CMSA and has assets under its management, exceeding ten million ringgit or its equivalent in foreign currencies; or</li> <li>(B) is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies.</li> </ul> </li> <li>• A corporation with total net assets exceeding ten million ringgit or its equivalent in foreign currencies based on the last audited accounts.</li> <li>• A partnership with total net assets exceeding ten million ringgit or its equivalent in foreign currencies.</li> <li>• A statutory body established under any law whose function or mandate is investment in capital market products.</li> <li>• A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.</li> </ul>
<b>Accredited Investor</b> - exempted from completing Investor Suitability Assessment	<ul style="list-style-type: none"> <li>(i) A unit trust scheme, private retirement scheme or prescribed investment scheme.</li> <li>(ii) Bank Negara.</li> <li>(iii) A licensed person or a registered person.</li> <li>(iv) An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator.</li> <li>(v) A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the SC.</li> <li>(vi) A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704].</li> <li>(vii) An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705].</li> <li>(viii) A chief executive officer or a director of any person referred to in subparagraphs (iii), (iv), (v), (vi), (vii) above.</li> <li>(ix) A closed-end fund approved by the SC.</li> </ul>