

ULTIMATE BENEFICIAL OWNERSHIP DECLARATION FORM

COMPANY / ORGANISATION DETAILS

Company Registered Name	
Company Registered No	
Account Number (applicable for existing account)	

In compliance with the Securities Commission's Guidelines on Prevention of Money Laundering and Terrorism Financing for Reporting Institutions in the Capital Market, Kenanga Investors Berhad ("KIB") is obliged to identify and collect information on each investor's ultimate beneficial owner. Please furnish relevant documents as listed in Appendix A below.

Please note that all the information collected will be used for due diligence purposes and KIB may be legally obliged to share this information to the relevant parties/authorities.

TYPE OF CORPORATION

Please choose and tick (✓) either one of the corporation types below.

<input type="checkbox"/> Companies (Private & Public)	<input type="checkbox"/> Partnership	<input type="checkbox"/> Government-linked company / State-owned enterprise	<input type="checkbox"/> Club / Society / Association / Foundation
<input type="checkbox"/> Co-operative	<input type="checkbox"/> Trust	<input type="checkbox"/> Charities / Non-Governmental Organization	<input type="checkbox"/> Others (Please specify) _____

Note: Government-linked companies ("GLC") refers to a company where the government is the majority shareholder or single largest shareholder and has the ability to exercise and/or influence major decisions such as appointment of board members and senior management.

State-owned enterprise ("SOE") refers to an entity where the government (federal or state level) exercises ownership directly by a government ministry, department or agency or indirectly through a government-linked investment company, a statutory body or public sector agency. SOEs include state-owned corporation or companies, and may also take the form of statutory bodies that undertake commercial activities.

DETAILS OF ULTIMATE BENEFICIAL OWNER(S)

I/We hereby declare the ultimate beneficial owner(s) as follows:

(Please tick (✓) whichever is applicable)

Category	Tick (✓)
1 Individual person(s) who hold more than 25% direct/indirect shareholdings of the entity and/or has ultimate controlling ownership interest of the entity. If none, go to 2	<input type="checkbox"/>
2 Individual person(s) who exercise(s) ultimate effective control* over an entity. If none, go to 3 *This may include exercise effective control over an entity if he has the powers and authority to take actions and make decisions for the entity, including on matters relating to its financial affairs, financial relationships, operations or other matters that may fundamentally affect the business or direction of the entity, without having ownership interest over the entity. Such powers may be attained through other means, such as: (i) Reflecting dominant influence to appoint or remove directors/senior management; (ii) Having the power of attorney over the entity; (iii) Owning stocks or rights over outstanding debts that are convertible into voting equity; (iv) Participating in the financing of the enterprises; or (v) Having control through trusts, agreements, arrangements, understandings, policies or practices, close and intimate family relationships or if a company defaults on certain payments. Please specify how Beneficial Owner exercise ultimate effective control.	<input type="checkbox"/>
3 The individual person(s) who hold the position of senior management* within the entity. * Senior management refers to persons who exercise executive control over the daily or regular affairs of the entity, which may include, but are not limited to, Directors, Deputy Directors, Board Members, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, or any individual performing similar management functions.	<input type="checkbox"/>

Please provide details of the ultimate beneficial owner(s) on the following page.

DETAILS OF ULTIMATE BENEFICIAL OWNER(S)

Please complete in **BLOCK LETTERS** and in **BLACK INK ONLY**. All fields are mandatory. Please provide a copy of the identification documents, i.e. NRIC or passport, of each beneficial owner listed below. Reference may be made to Appendix B for choice of "Relationship with client"

Ultimate Beneficial Owner - 1

Full Name:

NRIC / Passport No.:

Permanent Address :
(as per NRIC/Passport/Other ID)Correspondence Address :
(if different from above)

Nationality :

Date of birth :

Contact Number :

Email :

Name of employer :

Occupation & Position:

Purpose of transaction

Shares, if any (%)
(To specify whether direct/indirect
Shareholding)

Relationship with the client:

Ultimate Beneficial Owner - 2

Full Name:

NRIC / Passport No.:

Permanent Address :
(as per NRIC/Passport/Other ID)Correspondence Address :
(if different from above)

Nationality :

Date of birth :

Contact Number :

Email :

Name of employer :

Occupation & Position:

Purpose of transaction

Shares, if any (%)
(To specify whether direct/indirect
Shareholding)

Relationship with the client:

Ultimate Beneficial Owner - 3	
Full Name:	
NRIC / Passport No.:	
Permanent Address : <i>(as per NRIC/Passport/Other ID)</i>	
Correspondence Address : <i>(if different from above)</i>	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer :	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	
Ultimate Beneficial Owner - 4	
Full Name:	
NRIC / Passport No.:	
Permanent Address : <i>(as per NRIC/Passport/Other ID)</i>	
Correspondence Address : <i>(if different from above)</i>	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer :	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	

Ultimate Beneficial Owner - 5	
Full Name:	
NRIC / Passport No.:	
Permanent Address : <i>(as per NRIC/Passport/Other ID)</i>	
Correspondence Address : <i>(if different from above)</i>	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer :	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	
Ultimate Beneficial Owner - 6	
Full Name:	
NRIC / Passport No.:	
Permanent Address : <i>(as per NRIC/Passport/Other ID)</i>	
Correspondence Address : <i>(if different from above)</i>	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer :	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	

DECLARATION AND SIGNATURES

I/We hereby declare and certify, to the best knowledge that the information provided above is/are true and correct, should there be any change to the above information in future, I/We shall keep KIB informed as soon as possible.

Authorized Signatory	Authorized Signatory	Company Seal / Company Stamp
Name:	Name:	
NRIC/Passport/Other ID:	NRIC/Passport/Other ID:	
Date:	Date:	

APPENDIX A : LIST OF DOCUMENTS REQUIRED FOR THE BENEFICIAL OWNERSHIP DECLARATION

Type of legal person/legal arrangement	Information relating to beneficial ownership	Source of documents *
Private and public companies / Bodies corporate / Partnership	i. Legal vehicle (e.g. corporate, partnership etc) ii. Company tree	<ul style="list-style-type: none"> • Certificate of incorporation • Certificate of registration • Company constitution • Minutes of Board meeting • Company's annual report and annual return (if applicable)
Government-linked companies	i. Shareholding including information on parent company and subsidiaries information ii. Direct or indirect ownership iii. Relationship to conglomerates / corporate groups iv. Company tree	<ul style="list-style-type: none"> • Director's and shareholder's resolution • Partnership agreement • Appointment / Authorization letter • Senior management list • Company's annual report and annual return • Joint venture agreement, shareholder's agreements and other related agreements • Director nomination agreement • Register of member including BO • Any other source documents that sufficiently identifies the beneficial owner
Trust arrangement	i. Parties to the trust ii. Persons involved in the trust establishment iii. Administrator of the trust iv. Type of trust	<ul style="list-style-type: none"> • Trust deed • Trust registration document
Cooperatives	i. Management of the cooperatives ii. Rules governing the cooperatives	<ul style="list-style-type: none"> • Registration form of the cooperatives • By-laws of the cooperative • Minutes of General Meeting
Clubs / Societies / Foundations / Charities / NGOs	i. Rules governing the clubs / societies / foundations / charities / NGOs ii. Founder of the organization	<ul style="list-style-type: none"> • Constitution / charter / rules • Registration form • Minutes of meeting • List of members of committee

* Note: All documents should be CTC by company secretary / lawyer / commission of oath.

APPENDIX B : DETERMINATION OF RELATIONSHIP OF ULTIMATE BENEFICIAL OWNER WITH THE CLIENT

Type of legal person/legal arrangement	Relationships to be determined, if any
Companies (Private and Public)	<ul style="list-style-type: none">• Shareholders• Senior management• Joint venture agreement• Persons with voting rights• Nominees directors / shadow directors• Persons with power to appoint or remove directors• Other persons with interest within the company
Partnership	<ul style="list-style-type: none">• Partners within the partnership• Other natural persons with effective control over the partnership
Government-linked companies o Government investment linked companies, state based company etc.	<ul style="list-style-type: none">• Person authorized in the government to exercise or influence decision making on the GLC• Other persons who exercise or influence decisions over the GLC
Clubs / Societies / Foundations / Charities / NGOs / Cooperatives	<ul style="list-style-type: none">• Office bearer (e.g. president, secretary, treasurer or other committee)• Senior management / management team• Other member with effective control over the club / societies / charities / foundations / cooperatives• Founder of the organization
Trust arrangement	<ul style="list-style-type: none">• Settlor• Trustee• Protector• Beneficiaries or class of beneficiaries• Other natural persons with effective control over the trust