



CUSTOMER CONSENT FORM

CUSTOMER CONSENT FOR DISCLOSURE AND USE OF THE CUSTOMER INFORMATION

For the purposes of the provision of any service(s) and/or financial product(s) to me/us by Kenanga Investors Berhad (“**KIB**”) and its group of companies including its holding company, Kenanga Investment Bank Berhad, its subsidiaries and related companies (“**Kenanga Group**”) and/or for the performance of any contract(s)/agreement(s) between Kenanga Group and me/us (hereinafter collectively the “**Kenanga Services & Products**”), I/we hereby acknowledge that KIB will collect and process any information relating to me/us, our directors and shareholders (if we are a corporation), my/our representatives, my/our security provider(s) and/or any other third party (collectively, the “**Data Subjects**”).

By signing and returning the copy of this **Customer Consent Form** to KIB, I/we hereby consent, authorize and permit Kenanga Group and/or its officers and employees to collect, disclose and furnish, without further notification or concurrence by KIB, any information and data relating to me/us, any document, record and information concerning the financial product(s) and/or services provided or to be provided to me/us, my/our accounts and/or future accounts with Kenanga Group, the performance of any contract(s)/agreements between me/us and Kenanga Group, and/or any other matters relating to my/our business and operations (if we are a corporation) to such extent as Kenanga Group may require for the provision of the Kenanga Services & Products (“**Information**”).

I/We hereby acknowledge that KIB may use, process and/or disclose the Information for the following purposes in and/or outside Malaysia (“**Purpose**”):

- to assess and process my/our applications for the Kenanga Services & Products including to handle any issue and/or query during such applications;
- to manage and maintain my/our accounts/facilities with KIB;
- for crime or fraud detection, investigation, prevention, prosecution and compliance with sanctions, including know your customer (KYC) and regular politically exposed persons (PEP) screening;
- to respond to the requirements of a civil or criminal legal process, government and/or any regulatory body, and/or for regulatory compliance purpose and/or as required by law or regulation (including the European Union member states);
- for debt collection and enforcement of my/our obligations to KIB and/or recovery of monies due and payable by me/us under the Kenanga Services & Products;
- for audit, compliance and risk management;
- to transfer or assign my/our rights and duties under any governing terms and conditions between me/us and KIB ;
- to perform shared services within Kenanga Group;
- for the outsourcing of business and back-room operations of Kenanga Group;
- for the transfer, storing and processing of the Information to a cloud service provider whether within Malaysia or outside Malaysia in order to carry out any of the Purposes stated in this letter/form ;
- to transfer the Information to foreign jurisdictions to enable any cross-border transactions, for the performance of a contract, for the purposes of legal proceedings, upon written request from a foreign regulatory or government authority or body, to protect my/our vital interest or where it is in the public interest to do so; and/or
- for any other purposes that are required or permitted by any law, regulation, order and/or guidelines.

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The Information may be disclosed to the following parties (“**Parties**”):

- the other entities/companies (including future entities/companies) within Kenanga Group, and its agents, affiliates and associates;
- share registrars;
- the issuer of securities related to the Kenanga Services & Products that I/we have subscribed/invested;
- administrators, liquidators (interim or permanent), judicial managers, receivers and managers;
- other financial institutions granting or intending to grant any credit facilities to me/us, the Central Credit Bureau or any other central credit bureau established by the Central Bank of Malaysia (“**BNM**”) or such authorities/agencies established by BNM or any agency established by the Association of Banks in Malaysia, BNM, Cagamas Bhd and such other authorities as may be authorised by law to obtain the Information;
- professional advisers, contractors, service providers, nominees, agents, debt collection agencies (including persons involved in debt collection and claims for debt), and KIB’s other agents or third party providers with whom KIB has contractual agreements for KIB’s functions and services;
- auditors, solicitors, valuers, consultants and/or other agents in connection with the preparation of any contract(s)/agreement(s)/report(s)/advice/opinion(s) pertaining to the Kenanga Services & Products;
- credit reference agencies, rating agencies, insurers or insurance brokers;
- any actual or potential participants or assignee or transferee of our rights and/or obligations under any transaction between KIB and me/us;
- any guarantor or security provider for the Kenanga Services & Products granted by KIB to me/us;
- any authorities or regulators, including foreign regulators for the performance of their functions, or any party as required by any law or any government, quasi-government, administrative, court or tribunal;
- any person connected to the enforcement or preservation of any of KIB’s rights under KIB’s agreements with me/us;
- any party or authority, if required by law, regulation and/or by law or pursuant to any order from any court of competent jurisdiction or if the disclosure has been approved in writing by BNM; and/or
- any other party authorized and/or consented to by me/us.

I/We hereby acknowledge that I/we may, at any time, opt to withdraw or revoke my/our consent for the disclosure of my/our Information and any personal data about the Data Subjects provided to KIB by me/us (save for Information which is necessarily retained by KIB to comply with legal, contractual, or regulatory requirements, storage purposes, or if there are valid grounds under the law to do so, such as legal claims etc.) by submitting a written notification addressed to investorservices@kenanga.com.my. I/We hereby acknowledge and agree that KIB will require a reasonable amount of time to process such notices.

I/We hereby acknowledge and agree that KIB reserves the right to take the necessary actions including termination of our business relationship and closure of account if KIB feels that such removal or cessation of my/our consent would not allow KIB to provide the Kenanga Services & Products or fulfil KIB’s obligations in a satisfactory manner. This includes KIB’s entitlement to refuse to provide or cease providing any facilities, or Kenanga Services & Products to me/us.

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ACCEPTANCE

By signing and submitting this **Customer Consent Form**, I/we hereby signify my/our consent, and where applicable, have obtained the respective requisite consents from the Data Subjects, for Kenanga Group to use, process, transfer, deal, process and/or disclose (i) my/our Information; and (ii) the Data Subject's personal data in accordance with the terms above and/or KIB's Privacy Notice which can be found at <https://www.kenangainvestors.com.my/privacy-policy> (as may be amended or supplemented from time to time).

*Signature of Applicant (for individuals) /Authorized Signatory (for company)	Authorized Signatory (for company)	Company Seal / Company Stamp
Name:	Name:	
Date:	Date:	

Note:* strike out which is not applicable.