

SOPHISTICATED INVESTOR(S) DECLARATION FORM

PRINCIPAL ACCOUNT HOLDER

Name (As per NRIC/Passport/Other ID)	
NRIC/Passport No/Other ID No	
Account Number	

JOINT ACCOUNT HOLDER

Name (As per NRIC/Passport/Other ID)	
NRIC/Passport No/Other ID No	
Account Number	

CORPORATE ACCOUNT

Company Registered Name	
Company Registered No	
Account Number	

DECLARATION AND SIGNATURES

I/We hereby declare and confirm that I am/we are sophisticated investor(s) as defined under the Securities Commission Malaysia Guidelines On Categories of Sophisticated Investors. Please tick [✓] whichever is applicable.

Investor Category	Qualifying Criteria	Tick [✓]
High-Net Worth Individual	Net personal assets exceeding RM3 million (or equivalent), where the value of the primary residence does not exceeding RM1 million.	
	Net joint assets with spouse or child exceeding RM3 million (or equivalent), where the value of the primary residence does not exceeding RM1 million.	
	Gross annual income exceeding RM300,000 (or equivalent) in the preceding 12 months.	
	Joint gross annual income with spouse or child exceeding RM400,000 (or equivalent) in the preceding 12 months.	
	Personal or joint investment portfolio with spouse or child in capital market products exceeding RM1 million (or its equivalent) funded solely from personal savings, and not financed using any borrowed money.	
	Holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting	
	a. Bachelor's or Master's degree related to Finance, Economics or Actuarial Science	
	b. Bachelor's or Master's degree in Accounting	
	c. Master of Business Administration	
	Holds the following membership in the associations as set out below:-	
	a. Active Member of Chartered Financial Analyst (CFA) Institute;	
	b. Chartered Banker of Asian Institute of Chartered Bankers (AICB);	
	c. Ordinary Member of Financial Markets Association Malaysia (FMAM);	
	d. Chartered Accountant, C.A(M) of Malaysian Institute of Accountants (MIA);	
	e. Ordinary Member of Malaysia Association of Tax Accountants (MATA);	
	f. Accredited Angel Investor of Malaysian Business Angel Network (MBAN);	
	g. Certified Member of Financial Planning Association of Malaysia (FPAM); or	
	h. Ordinary Member of Malaysian Financial Planning Council (MFPC).	
	Has at least 5 consecutive years of working experience in Capital Market Intermediary (CMI), relating to	
	a. Product development	
	b. Corporate finance	
	c. Deal advisory	
	d. Investment management,	
e. Sales and trading		
f. Investment research and advisory		
g. Financial analysis		
h. The provision of training in investment products.		

Investor Category	Qualifying Criteria	Tick [✓]
High-Net Worth Entity	Trust company with assets under management exceeding RM10 million (or equivalent).	
	Corporation with total net assets exceeding RM10 million (or equivalent) based on the latest audited accounts.	
	Partnership with total net assets exceeding RM10 million (or equivalent).	
	Public company approved by the Securities Commission Malaysia as a trustee or fund management company for related corporations, with assets under management exceeding RM10 million (or equivalent).	
	Statutory body established under any law with a mandate to invest in capital market products.	
	An approved pension fund under the Income Tax Act 1967.	
Accredited Investor - exempted from completing Investor Suitability Assessment	Unit trust scheme, private retirement scheme, or prescribed investment scheme.	
	Bank Negara Malaysia.	
	Licensed or registered person under capital market or securities laws.	
	Exchange holding company, stock exchange, derivatives exchange, approved clearing house, central depository, or recognised market operator.	
	Corporation licensed, registered or approved to carry on regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the Securities Commission Malaysia.	
	Bank, insurance, Islamic bank, or takaful licensee as defined under the Labuan Financial Services and Securities Act 2010 and Labuan Islamic Financial Services and Securities Act 2020 respectively.	
	Chief Executive Officer or Director of any of the entities listed under the Accredited Investor category above.	
	Closed-end fund approved by the Securities Commission Malaysia.	

I/We hereby declare and represent that all information and declarations provided herein are true, accurate, and complete to the best of my/our knowledge and belief at the time of declaration.

Signature of Principal Holder/ Authorised Signatory	Signature of Joint Holder/Authorised Signatory	Company Seal / Company Stamp
Name:	Name:	
NRIC/Passport/Other ID:	NRIC/Passport/Other ID:	
Date:	Date:	

Submitted by Sales Representative	Name	Signature	Date
Checked by KIB Collection branch			
Received by Operations/Bank Office			

Note:

- *Sophisticated Investor Declaration Form is required to be completed when you invest in Wholesale Fund and/or at point of onboarding.*
- *Principal and joint applicant must be at least 18 years of age at the date of declaration, and both applicants must complete the Declaration.*
- *Sophisticated Investors are advised to read and understand the relevant product disclosure or fund offering documents (including Product Highlight Sheet(s), Prospectus/Information Memorandum and any Supplemental documents relating to the Fund and obtain professional advice before subscribing to the Units of the Fund.*